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PATENT APPLICATION  
10/001,511



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Sanford J. Morganstein  
Serial No.: 10/001,511  
Filed: October 31, 2001  
Title: ADVANCED VOTING SYSTEM AND METHOD

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Dear Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Verified Statement Claiming Small Entity Status, Information Disclosure Statement, PTO Form 1449, copies of references cited, Baker Botts return post card, and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 6<sup>th</sup> of February, 2002 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Willie Jiles*

Willie Jiles

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Applicants: Sanford J. Morganstein  
Serial No. or Patent No.: 10/001,511  
Filed or Issued: October 31, 2001

Attorney's Docket 018106.0109

ADVANCED VOTING SYSTEM AND METHOD



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: Illinois Information Technology Corp.  
Address of Small Business Concern: 14N880 Lac du Beatrice Drive  
W. Dundee, IL 60118

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled ADVANCED VOTING SYSTEM AND METHOD, by Sanford J. Morganstein, described in the specification filed herewith.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e):

-----NONE-----

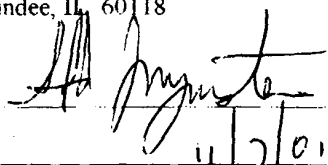
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or my maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Sanford J. Morganstein  
Title of Person if other than owner:  
Address of Person Signing:

14N880 Lac du Beatrice Drive  
W. Dundee, IL 60118

Signature:

  
11/7/01

Date:

July 9, 2001

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July 9, 2001

Fellow Americans:

The Board of Directors of The Election Center is proud to present this National Task Force Report on Election Reform. When it became clear that coverage of Election 2000 lead to so much misinformation about the electoral process, we concluded that it would be necessary that one report be issued by the people who know the process best: the nation's elections administrators at the state and local levels.

To significantly understand what needs to be "fixed" and what is likely to lead to great harm, requires expertise. Frequent news stories containing inaccurate information from "elections experts," most of whom were unknown to any serious student of the process, led to a growth of myths and legends about Election 2000.

That is the reason we formed and convened the National Task Force on Election Reform. The experts are real and have some of the most extensive experience in actually conducting thousands and thousands of elections. Representing the nation's elections administrators, we chose a total of 37 elections professionals from the state and local levels to come together for a serious study of Election 2000 and to make recommendations about what should and should not be done. We asked for volunteers nationally, and out of more than 90 volunteers, we chose 31 administrators, supplemented by the elections professionals who are the Board of Directors of The Election Center.

We carefully balanced the Task Force with Republicans and Democrats, with large and small jurisdictions, with state and local, with race and gender, with liberal and conservative, with youth and seniors, and with every conceivable method of voting represented, all in an effort to be as representative of America as possible. The task force was co-chaired by two outstanding election administrators, from state and local. Democrat and Republican, Lance Ward of Oklahoma, and Alan Davidson, from Oregon, both of whom had also been news media reporters prior to becoming elections professionals. We repeated the pattern for subcommittee co-chairs to assure policy makers and citizens that a fair hearing and examination of all pertinent issues would be had.

What is contained in this report is nothing short of phenomenal. It is a straight forward analysis of Election 2000 and the electoral process. It is filled with the hope that serious consideration of some reforms will be passed -- and others shelved. And, it is from the people who know more about the process than any other single group in America: the professionals who have to make the process work for all of us in each election.

As members of the Board of Directors of The Election Center, we are pleased to present the final document to the public.

Ernest Hawkins, Registrar,  
Sacramento County, CA

Donetta Davidson,  
Secretary of State, CO

Julie Pearson, Auditor,  
Pennington County, SD

Gary Bartlett, Ex. Dir.,  
NC State Board of Elections

Tom Wilkey, Ex. Dir. ,  
NY State Board of Elections

R. Doug Lewis, Ex. Dir.,  
The Election Center

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